

TO/40/90  
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Approved for use through 10/31/2002. OMB 0651-0032  
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JC978 U.S. PTO  
09/07/3982  
06/04/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                              |
|------------------------|------------------------------|
| Attorney Docket No.    | USP1524T-AP                  |
| First Inventor         | Jack OU                      |
| Title                  | Improved Handsfree Apparatus |
| Express Mail Label No. |                              |

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages **11**]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets **3** ]
5. Oath or Declaration [ Total Pages **1** ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
  - i.  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).*
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of *(when there is an assignee)*  Attorney
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

|  |   |           |                                   |  |             |
|--|---|-----------|-----------------------------------|--|-------------|
| <input type="checkbox"/> Customer Number or Bar Code Label | <i>(Insert Customer No. &amp; Attach bar code label here)</i>                       |           |                                   | <input checked="" type="checkbox"/> Correspondence address below |             |
| Name   | David and Raymond Patent Group  |           |                                   |  |             |
| Address  | 1050 Oakdale Lane   |           |                                   |  |             |
| City   | Arcadia   | State     | CA                                | Zip Code   | 91006       |
| Country  | USA   | Telephone | 626-5719812                       | Fax  | 626-5719813 |
| Name (Print/Type)  | Raymond Y. Chan   |           | Registration No. (Attorney/Agent) | 37,484   |             |
| Signature  |  |           | Date                              | 05/31/2001   |             |

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**In the United States Patent and Trademark Office**

Commissioner of Patents and Trademarks  
Washington, District of Columbia 20231

Mailed: May 15, 2001  
Attorney Docket: USP1524T-AP

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: Jack OU

Applicant #2, Name: \_\_\_\_\_

Title: Improved Handsfree Apparatus

Specification, Claims, and Abstract: Nr. Of Sheets 11

Declaration: Date Signed: 3/12/2001

Drawing(s): Nr. Of Sheets Enc.: (In Triplicate): Formal: 3 Informal: \_\_\_\_\_

The applicant claims small entity status. See 37 CFR 1.27

Assignment; please record and return; recordal fee enclosed.

Check for \$ 355.00 for:

\$ 355.00 for filing fee (not more than three independent claims and twenty total claims are presented).

\$ \_\_\_\_\_ Additional of Assignment is enclosed for recordal.

Very respectfully,

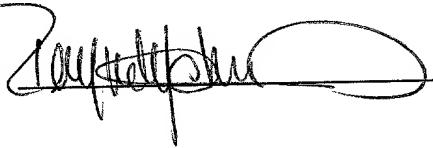


Signature  
Raymond Y. C. Chan  
Reg. Nr. : 37,484

1050 Oakdale Lane,  
Arcadia, CA 91006

**Certificate of Mailing**

I hereby certify that this paper or fee is being deposited with the United States Postal Service using "First Class/Priority Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to "Commissioner of Patents and Trademarks, Washington, DC 20231".

Signature:  Date: 05/13/2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 355.00)

## Complete if Known

|                      |             |
|----------------------|-------------|
| Application Number   |             |
| Filing Date          |             |
| First Named Inventor | Jack OU     |
| Examiner Name        |             |
| Group Art Unit       |             |
| Attorney Docket No.  | USP1524T-AP |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
- Deposit Account Name
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description               | Fee Paid |
|----------------------------|----------------------------|-------------------------------|----------|
| 101                        | 710                        | 201 355 Utility filing fee    | \$355    |
| 106                        | 320                        | 206 160 Design filing fee     |          |
| 107                        | 490                        | 207 245 Plant filing fee      |          |
| 108                        | 710                        | 208 355 Reissue filing fee    |          |
| 114                        | 150                        | 214 75 Provisional filing fee |          |

SUBTOTAL (1) (\$ 355.00)

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims                 | Fee from below                                | Fee Paid |
|--------------------|------------------------------|---|----------|
| Independent Claims | -20** = <input type="text"/> | X <input type="text"/> = <input type="text"/> |          |
| Multiple Dependent | -3*** = <input type="text"/> | X <input type="text"/> = <input type="text"/> |          |
|                    |                              |   |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103                        | 18                         | 203 9 Claims in excess of 20                                     |
| 102                        | 80                         | 202 40 Independent claims in excess of 3                         |
| 104                        | 270                        | 204 135 Multiple dependent claim, if not paid                    |
| 109                        | 80                         | 209 40 ** Reissue independent claims over original patent        |
| 110                        | 18                         | 210 9 ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

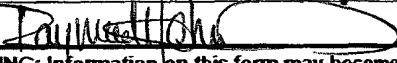
| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description   | Fee Paid |
|----------------------------|----------------------------|---|----------|
| 105                        | 130                        | 205 65 Surcharge - late filing fee or oath  |          |
| 127                        | 50                         | 227 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                        | 130                        | 139 130 Non-English specification   |          |
| 147                        | 2,520                      | 147 2,520 For filing a request for ex parte reexamination                         |          |
| 112                        | 920*                       | 112 920* Requesting publication of SIR prior to Examiner action                   |          |
| 113                        | 1,840*                     | 113 1,840* Requesting publication of SIR after Examiner action                    |          |
| 115                        | 110                        | 215 55 Extension for reply within first month                                     |          |
| 116                        | 390                        | 216 195 Extension for reply within second month                                   |          |
| 117                        | 890                        | 217 445 Extension for reply within third month                                    |          |
| 118                        | 1,390                      | 218 695 Extension for reply within fourth month                                   |          |
| 128                        | 1,890                      | 228 945 Extension for reply within fifth month                                    |          |
| 119                        | 310                        | 219 155 Notice of Appeal  |          |
| 120                        | 310                        | 220 155 Filing a brief in support of an appeal                                    |          |
| 121                        | 270                        | 221 135 Request for oral hearing  |          |
| 138                        | 1,510                      | 138 1,510 Petition to institute a public use proceeding                           |          |
| 140                        | 110                        | 240 55 Petition to revive - unavoidable   |          |
| 141                        | 1,240                      | 241 620 Petition to revive - unintentional  |          |
| 142                        | 1,240                      | 242 620 Utility issue fee (or reissue)  |          |
| 143                        | 440                        | 243 220 Design issue fee  |          |
| 144                        | 600                        | 244 300 Plant issue fee   |          |
| 122                        | 130                        | 122 130 Petitions to the Commissioner   |          |
| 123                        | 50                         | 123 50 Processing fee under 37 CFR 1.17(q)  |          |
| 126                        | 180                        | 126 180 Submission of Information Disclosure Stmt                                 |          |
| 581                        | 40                         | 581 40 Recording each patent assignment per property (times number of properties) |          |
| 146                        | 710                        | 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 149                        | 710                        | 249 355 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 179                        | 710                        | 279 355 Request for Continued Examination (RCE)                                   |          |
| 169                        | 900                        | 169 900 Request for expedited examination of a design application                 |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

Complete if applicable

|                   |   |                                      |        |           |              |
|-------------------|---|--------------------------------------|--------|-----------|--------------|
| SUBMITTED BY      | Raymond Y. Chan   | Registration No.<br>(Attorney/Agent) | 37,484 | Telephone | 626-571-9812 |
| Name (Print/Type) |   |                                      |        | Date      | 05/31/00     |
| Signature         |  |                                      |        |           |              |

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